



Business Enterprise
Development &
Environmental
Social Governance

PLEASE NOTE: This packet consists of FIVE (5) "Instruction" pages and nineteen (19) attached "Forms" titled 100, 101, 102, 103A, 103-B, 104, 105 and 106. If all pages are not attached, please contact: **Office of Business Enterprise Development and Environmental Social Governance, Chicago Public Schools, 42 West Madison Street, 9th Floor, Chicago Illinois, 60602 PHONE: 773-553-2980 FAX: 773-553-2701. EMAIL: obedesg@cps.edu** You may also download forms at [HERE](https://www.cps.edu/globalassets/cps-pages/about-cps/departments-directory/office-of-business-diversity/blank-OBEDESG-mbe-wbe-form.pdf) (<https://www.cps.edu/globalassets/cps-pages/about-cps/departments-directory/office-of-business-diversity/blank-OBEDESG-mbe-wbe-form.pdf>)

Board of Education of the City of Chicago

REMEDIAL PROGRAM AND POLICIES FOR MINORITY-OWNED BUSINESS ENTERPRISE AND WOMEN-OWNED BUSINESS ENTERPRISE PARTICIPATION

INSTRUCTIONS TO BIDDERS

On June 28, 2023, the Board of Education of the City of Chicago (the "Board") renewed (Board Report [23-0628-PO6](#)) the adopted the Remedial Program for Minority-Owned Business Enterprise and Women-Owned Business Enterprise Remedial Policies for Goods and Services, Capital and Construction Aspirational Public Procurement Contracts ("M/WBE Program"). The M/WBE Program is the governing document establishing and explaining requirements concerning Minority-Owned Business Enterprise and Women-Owned Business Enterprise participation and its terms are incorporated into the contract. Compliance with the provisions of the M/WBE Program is an element of bidder/proposer responsibility for award of the contract. The M/WBE Policies and Program, the compliance specifications, and all compliance materials as finally approved by the Office of Business Enterprise Development and Environmental Social Governance, Qualified Exclusions Review Committee, Appeals Committee, the Chicago Public Schools (the "District"), and/or the Board constitute the bidder/proposer Compliance Agreement and are incorporated as part of the contract. All heirs, executors, administrators or assignees and any other persons or entities claiming by or through the bidder/proposer, including but not limited to insurance companies, bonding companies, or sureties, are bound by the bidder/proposer Compliance Agreement.

Any questions regarding compliance with these requirements should be directed to the Office of Business Enterprise Development and Environmental Social Governance, Chicago Public Schools, 42 West Madison Street, 9th Floor, Chicago Illinois, 60602 PHONE: 773-553-2980, FAX: 773-553-2998, EMAIL: obedesg@cps.edu . Notice by fax transmission is valid notice, if the originals are subsequently deposited in U.S. mail and the fax transmission is verifiable. First preference is to receive all documents electronically.

DEFINITIONS

MINORITY:	A member of any of the following racial/ethnic groups: <ul style="list-style-type: none">- African Americans or Blacks (persons having origins in any of the Black racial groups of Africa);- Hispanics (persons of Spanish culture with origins in Mexico, South or Central America or the Caribbean Islands, regardless of race); and- Asian (persons having origins in any of the original peoples of East Asia, Southeast Asia, the Indian subcontinent, or the Pacific Islands).- Indigenous People of Color, Native Americana (direct descendants of peoples who inhabited the Americas, the Pacific Islands)
MBE:	A business which is owned and controlled by a Minority person or persons, and certified by a government municipality,
WBE:	A business which is owned and controlled by a Woman or Women, certified by a government municipality,
SBE:	A business which is designated as a Small Business Enterprise pursuant to SBA guidelines with personal net worth not to exceed \$2,047,000.00 with Consumer Price Index year-over-year, certified by a government municipality
CHICAGO SMSA:	The six-county Chicago Metropolitan Statistical Area including: Cook, DuPage, Kane, McHenry, Lake, and Will Counties.
CERTIFICATION:	Refers to an MBE or WBE or SBE that has been formally certified as such in accordance with M/WBE Program. (Where a provision of the Program expressly makes certification a prerequisite to some benefit or activity, that requirement shall not be obviated because related Program text refers only to "M/WBEs" and not to "certified M/WBEs".)
CONTRACTOR: (PRIME)	A firm that enters into a contract (including through the receipt of a purchase order) with the District to provide goods or to perform services.



- JOINT VENTURE:** An association between two or more independent firms formed, consistent with the laws of the State of Illinois to perform one or more specific contracts.
- SUBCONTRACTOR:
(SUPPLIER)** A firm which enters into a contract with a Prime Contractor to provide goods or services pursuant to a contract between the Prime Contractor and the District.

ASPIRATIONAL GOAL STRUCTURE FOR MINORITY AND GENDER GROUPS

One goal for MBE participation, to ensure that the minority groups that are the principal discrimination victims will, in fact, receive the principal portion of the remedy, with a separate WBE participation goal. For purposes of meeting the WBE goal, businesses owned by Minority Women may be counted on a particular contract as an MBE or WBE, but not both.

For each competitively bid contract for commodities where it is determined that the prime bidder/proposer will perform a broker/distributor function for the manufacturer of said commodities, only the aggregate goal for MBE and WBE participation shall be applicable.

METHODS OF ACHIEVING THE PROGRAM GOALS INCLUDE A COMBINATION OF ANY OF THE FOLLOWING

1. Being a certified M/WBE prime contractor
2. Engaging in joint ventures with certified M/WBEs
3. Subcontracting with certified M/WBEs
4. Purchasing supplies and/or services directly related to the performance of the contract from certified M/WBEs
5. Purchasing supplies and/or services not directly related to the performance of the contract (upon District approval)
6. Business development activities

The Executive Director of the Office of Business Enterprise Development and Environmental Social Governance may adopt other alternative forms of securing and administering M/WBE participation for a particular contract, upon notice to the Board. Such other alternative methods may be used when doing so will enable securing a level of M/WBE participation that otherwise would not be attainable.

LIMITATION TO COMMERCIALLY TYPICAL BUSINESS RELATIONSHIP

MBE and WBE compliance participation shall not be recognized for any portion of the dollar value of the contract performance which is passed through to non- M/WBE firms in the form of (a) sub-contracts, or (b) equipment leasing or other acquisition of goods or services for performance of the contract in a manner which is not typical of industry practice with respect to such contracts.

COMMERCIALLY USEFUL FUNCTION

An M/WBE must be an independent business serving a commercially useful function. This means that the M/WBE must execute a distinct element of work by actual performance, management, and supervision.

DEMONSTRATION OF COMPLIANCE

Each bidder/proposer must submit with its bid or proposal a signed commitment to comply with the M/WBE Program (Compliance Plan), or the bid/proposal will be deemed non-responsive. Each bidder/proposer must also submit, as part of its bid or proposal, a detailed M/WBE Plan showing the manner in which the contractor will comply with MBE and WBE requirements. The Office of Business Enterprise Development and Environmental Social Governance is an element of bidder responsibility. Requirements for Compliance Demonstrations may be further specified by the Compliance and Vendor Services and standard forms shall be provided to bidders/proposers.

The Compliance Demonstration must be provided on Form 100 through Form 106, copies of which are included with this solicitation. Additional forms and/or additional information, concerning your Compliance Demonstration with the M/WBE Program may be separately submitted, but applicable forms must be completed, and will be incorporated in the contract. Please refer to the table below to determine which forms must be completed.

Form 100	-	Prime Bidder/Proposer Information
Form 101	-	Compliance Summary – Subcontractors/Suppliers/Consultants
Form 102	-	Joint Venture
Form 103A	-	Letter of Intent
Form 103B	-	Professional Service Affidavit
Form 104	-	Vendor Diversity Profile
Form 105	-	Request for Qualified Exclusion
Form 106	-	Student Internship Protégé Agreement



Other requirements established by the M/WBE Program are set forth in the Special Conditions included with the Solicitation. A copy of these Special Conditions must be submitted along with your bid/proposal and are incorporated into the contract regardless of whether submitted with the bid or proposal. The Compliance Demonstration must show how all applicable goals and sub-goals will be fulfilled. Proposed MBEs and WBEs must be identified. If full compliance with all goals is not shown, Form 105 (Request for Qualified Exclusion) must be submitted covering any deficiencies.

QUALIFIED EXCLUSIONS

Bidders/Proposers may request a Qualified Exclusion of the MBE/WBE goals applicable to this contract in whole or in part if, despite good faith efforts, it is impossible or economically unreasonable to meet an MBE or WBE goal. A bidder/proposer may request:

- Qualified Exclusion of one or more goals
- Acceptance of a lower or higher percentage level of MBE and/or WBE participation; or - Acceptance of a bid without any MBE or WBE participation.

Qualified Exclusion requests shall be signed, accompanied by supporting documentation, and directed in writing to the Office of Business Enterprise Development and Environmental Social Governance. (See Form 105) The Qualified Exclusion request must establish clearly and in detail why full compliance with MBE or WBE requirements is impossible or economically unreasonable under the circumstances. Information showing good faith effort should generally include, but not be limited to the Bidder/Proposer's general affirmative action policies; efforts to obtain minority/women participation as subcontractors or suppliers; and notification of minority and women contract assistance agencies of a solicitation for sub-bids.

A Qualified Exclusion request based on the assertion that prices quoted by M/WBEs were too high will be presumed insufficient, unless the contractor can establish to the satisfaction of the Office of Business Enterprise Development and Environmental Social Governance that no reasonable price can be obtained from any MBE or WBE. **(For example)** A price quoted by an MBE or WBE for a subcontract or agreement will, however be presumed unreasonable if it exceeds by more than ten percent (10%) or \$100,000, whichever is less, that amount determined by the Office of Business Enterprise Development and Environmental Social Governance to represent the average price for the goods and services to be provided.

CERTIFICATION

Chicago Public Schools is not a certifying agency. However, Chicago Public Schools accept the following governmental certifications.

Acceptable Certifications: City of Chicago, Cook County Government, Chicago Transit Authority, Regional Transit Authority, Pace, Illinois Tollway, PACE, Chicago Water Reclamation District, State of Illinois, U.S. Small Business Administrations, and other Government Agencies and Municipalities.

POLICY NOTES

A. Applicability of the Program. It is the policy of the Board of Education (the "Board") that qualified and bona fide Minority Business Enterprises ("MBE") and Women Business Enterprises ("WBE"), as those terms are defined in the Remedial Program for Minority and Women Business Enterprise Economic Participation (the "Program") shall have the maximum feasible opportunities to participate fully in the performance of all contracts administered by the Chicago Public Schools (the "District"). Consistent with that policy, it shall be the responsibility of all bidders/proposers and a specific condition of all District contracts to which they are a party to exhaust all feasible means to ensure significant contract participation by MBEs and WBEs. The bidder/proposer agrees that the officer or employee of the bidder/proposer that executed has read and understands all provisions of the Program. The Program in its entirety, including any and all modifications and amendments thereto, is incorporated into the contract and made a part thereof.

B. Applicability of the Special Conditions. The bidder/proposer agrees that the appropriate officer or employee of the bidder/proposer authorized to execute the bid/proposal has read and understands the terms of these Special Conditions and the bidder/proposer agrees to be bound by them. These Special Conditions are incorporated into the contract and made a part thereof. These Special Conditions summarize the provisions of the Program applicable to the bidder/proposer after execution of the contract. They do not diminish in any way the applicability of the Program to the contract. In construing the rights and obligations of the bidder/proposer the Program controls.

C. Other Contract Documents. In addition, all documents submitted in connection with proposed compliance with the Program are incorporated into the contract and made a part thereof.

D. MBE/WBE Aspirational Goals. The bidder/proposer agrees to meet the goals set forth in the M/WBE Program.

E. Record Keeping and Reporting Requirements. The bidder/proposer agrees to maintain records of all relevant data with respect to the utilization of MBEs and WBEs, including without limitation: payroll records, tax returns and records, and books of account. The bidder/proposer



agrees to retain these records for a period of at least three years after the district's final acceptance of the work on this contract. Full access to these records shall be granted to the district or any duly authorized representative thereof upon 48 hours' notice.

The bidder/proposer agrees to submit monthly progress reports to the Office of Business Enterprise Development and Environmental Social Governance as requested throughout the term of the contract. The bidder/proposer will submit reports on all expenditures made within the period reported on, including the name and business address of each MBE and WBE involved in the contract; a description of the work performed and/or product or service supplied by each MBE and WBE, the total amount subcontracted to MBEs and WBEs; the dollar amount expended with each MBE and WBE and the dates expended; and such other information as may assist the Office in determining the bidder/proposer's compliance with MBE and WBE requirements.

The Office of Business Enterprise Development and Environmental Social Governance shall have the right to request and obtain from the bidder/proposer any and all additional data as the Office of Business Enterprise Development and Environmental Social Governance may determine to be reasonably related or necessary to verify the representations made in progress reports. The Office may periodically conduct on-site inspections on contract site.

F. Subsequent Qualified Exclusion. During the performance of the contract, the bidder/proposer may request a partial or full Qualified Exclusion from compliance with its MBE or WBE demonstration for the following reasons:

1. Due to substantially changed circumstances, it is impossible to meet the originally proposed MBE or WBE goal; or
2. Despite every good faith effort, it is impossible to meet the originally proposed MBE or WBE goal.

The Qualified Exclusion Review Committee shall review all subsequent Qualified Exclusion requests to determine whether there is sufficient evidence that despite good faith efforts by the bidder/proposer or due to substantially changed circumstances, it is impossible or economically unreasonable to meet the MBE or WBE goal.

The Office of Business Enterprise Development and Environmental Social Governance may request from the bidder/proposer any information relevant to the Qualified Exclusion request. Failure of the bidder/proposer to cooperate in providing requested information is grounds for rejection of the qualified exclusion request. The bidder/proposer has the right to appeal a denial of Qualified Exclusion request. Qualified Exclusions shall be sparingly granted.

G. Substitutions. The bidder/proposer agrees that it shall not make any substitutions with respect to MBE or WBE participants without the prior written approval of the Executive Director of the Office of Business Enterprise Development and Environmental Social Governance, along with reasons justifying such substitution. Examples of reasons which may be acceptable include the following: a previously committed MBE or WBE has rescinded that commitment; a committed MBE or WBE was found unable to produce acceptable work; a committed MBE or WBE was discovered later not to be bona fide; an MBE or WBE previously committed at a given price later demanded an unreasonable escalation of price. Stated reasons which would not be acceptable include; a replacement firm has been recruited to perform the same work under terms more advantageous to the bidder/proposer issues about performance by the committed WBE or MBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.

The bidder/proposer shall include in any request for substitution the name, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed contract. The bidder/proposer shall be required to submit an updated M/WBE Compliance Plan and current certification information. The Executive Director of the Office of Business Enterprise Development and Environmental Social Governance may approve or reject any request in its entirety or impose conditions upon any approval. If such substitution would result in failure by the bidder/proposer to fulfill its compliance program, a request for Qualified Exclusion may be submitted to the Qualified Exclusion Review Committee.

H. Attorney Fees and Costs. The bidder/proposer agrees to pay any attorney's fees and costs incurred by the district if the district is the prevailing party in litigation by or against it arising from the application of the Program to the contract.

I. Non-Compliance. Upon indications of inadequate compliance or non-compliance, the Office of Business Enterprise Development and Environmental Social Governance will notify and negotiate with the bidder/proposer to correct deficiencies. If after notification of deficiencies the Office of Business Enterprise Development and Environmental Social Governance determines that the contractor is not meeting or has not met applicable MBE or WBE goals and is not demonstrating or has not demonstrated every good faith effort to meet the goals, the bidder/proposer shall be subject to suitable sanctions.



J. Sanctions. Upon indications of a contractor's inadequate compliance or non-compliance, the Office of Business Enterprise Development and Environmental Social Governance will notify and negotiate with the bidder/proposer to correct deficiencies. After notification of deficiencies, the Office of Business Enterprise Development and Environmental Social Governance may make a determination of non-compliance and recommend the imposition of sanctions for material breach of the contract. After a determination of non-compliance, the sanctions are applicable.

Sanctions shall be imposed by the procuring or user Department upon the recommendation of the Office of Business Enterprise Development and Environmental Social Governance. However, sanctions may be imposed directly by the Office of Business Enterprise Development and Environmental Social Governance when immediate action is necessary, or upon failure to do so by the procuring or user department.

K. DEBARMENT. Participation in the Office of Business Enterprise Development and Environmental Social Governance program is a privilege, not a right. All participating firms, including certified and non-certified firms' prime contractors and certified MBE/WBE subcontractors, are expected to engage in good faith efforts to uphold the integrity and objectives of the remedial program and policies. This [policy](#) (Board Report 19-0626-PO1) of debarment establishes a fair, transparent, and progressive process for addressing non-compliance, culminating in potential debarment for serious or persistent violations. The primary purpose of this policy is to protect the integrity of the public procurement process and the remedial program and policies, not to act as a punitive measure. Actions that undermine the integrity of the SBE program will trigger the escalation process.

Debarment is the most serious sanction and excludes a firm from participating in any public contracts for a specified period, typically not less than three (3) years.

- (a)** Debarment of a Respondent under this Debarment Policy and Procedures constitutes debarment of all its principals, divisions and other organizational elements from all covered transactions and related transactions, unless the debarment decision is limited by its terms to one or more specifically identified principals, individuals, divisions or other organization elements or to specific types of transactions.
- (b)** As may be appropriate, the debarment action may include any affiliate of the participant that is specifically named and given notice of the proposed debarment and an opportunity to respond. Notice need not be given to an affiliate that is a principal as defined in Section 1.4(t).
- (c)** The debarment of a Respondent under this Debarment Policy and Procedures may include the debarment of any other business is, has been or will be controlled or owned by the Respondent.



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Form 100 - Bidder/Proposer Information

In accordance with the Remedial Program Policy (23-0628-PO6), each vendor must submit, as part of its bid or proposal, a detailed Compliance Plan showing the manner in which the Bidder/Proposer will comply with M/WBE requirements. The Compliance Plan is an element of Bidder/Proposer responsibility. The Compliance Plan must show how all applicable aspirational goals will be fulfilled. Proposed M/WBEs must be identified to demonstrate full compliance with all goals. If full compliance with all aspirational goals is not demonstrated, a completed request for Qualified Exclusion form must be submitted that respond to deficiencies at the time of bid/proposal submission.

Project | Commodity Name: _____

Total Bid Amount | Contract Value: _____

Bid | Contract Number: _____

Contract-Specific Goals will be established by OBEDESG using the following guidelines:

The Office of Business Enterprise Development and Environmental Social Governance, at its discretion and in consultation with the Department of Procurement and user departments, may elect to establish Contract-Specific Aspirational Goals for M/WBE participation for eligible contracts for goods and services over \$75,000.

Proposed M/WBE Participation

Total MBE%: _____

Total WBE %: _____

Bidder/Proposer Information:

Firm Name		M/WBE Certified?	<input type="checkbox"/> Y	<input type="checkbox"/> N
Address		Certification Expiration Date		
City / State / Zip		Email:		
Contact Person	Telephone	Fax		
Firm CPS Vendor No.	<input type="checkbox"/> Not for Profit <input type="checkbox"/> For Profit	Firm Gender / Race		

Description of Commodities/Services to be provided by the Bidder/Proposer on this Contract:

Verification Information:

I, _____, declare and affirm that to the best of my knowledge, information and belief, the facts and representations set forth in this compliance demonstration are true and correct and no material facts have been omitted.

Signature of Authorized Representative _____

Title / Date _____

SUBSCRIBED AND SWORN to me before this _____ day of _____, 2_____.

Notary Public _____



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Contract Number: _____

**Compliance Summary (Form 101) - Direct/indirect Participation of
Subcontractors/Suppliers/Consultants**

M/WBE firms may participate in the performance of this contract, either DIRECTLY or INDIRECTLY, as:
Prime Bidders/Proposers; Joint Venture Partners; Subcontractors; and/or Suppliers. **(If
performing INDIRECTLY approval by CPS is required.)**

Name of M/WBE Firm		Race/Gender	
Address:			
City/State/Zip:		Email:	
Telephone No.	Fax	CPS Vendor No.	
Contact Person			
Dollar Amt. \$	%	Participation: Direct Y N (Please select one)	Indirect Y N
Description of Commodity/Services:			

Name of M/WBE Firm		Race/Gender	
Address			
City/State/Zip		Email:	
Telephone No.	Fax	CPS Vendor No.	
Contact Person			
Dollar Amt. \$	%	Participation Direct Y N (Please select one)	Indirect Y N
Description of Commodity/Services			

Name of M/WBE Firm		Race/Gender	
Address			
City/State/Zip		Email:	
Telephone No.	Fax	CPS Vendor No.	
Contact Person			
Dollar Amt. \$	%	Participation Direct Y N (Please select one)	Indirect Y N
Description of Commodity/Services			



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**Compliance Summary (Form 101) - Direct/indirect Participation of
Subcontractors/Suppliers/Consultants**

TOTAL WBE DIRECT: \$ _____ TOTAL WBE DIRECT (PERCENTAGE): _____

TOTAL MBE DIRECT: \$ _____ TOTAL MBE DIRECT (PERCENTAGE): _____

TOTAL WBE INDIRECT: \$ _____ TOTAL WBE INDIRECT (PERCENTAGE): _____

TOTAL MBE INDIRECT: \$ _____ TOTAL MBE INDIRECT (PERCENTAGE): _____

FORM 101 – FINAL PAGE 2



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FORM 103A – Letter of Intent
(This form is required for each subcontractor)

M/WBE Firm: _____ Contract #: _____

Address: _____ City/State/Zip: _____

Contact Person : _____ Phone: _____ Fax: _____

Certification Expiration Date: _____ Race/Gender: _____

Email: _____

Form 103A required? ☐ Yes ☐ No Participation: ☐ Direct ☐ Indirect
(Please select one)

Will the M/WBE firm be subcontracting any of the performance of this contract to another firm?

☐ No ☐ Yes - Please attach explanation. Proposed Subcontractor: _____

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above-named Project/Contract:

Indicate the **Dollar Amount**, or **Percentage**, and the **Terms of Payment** for the above-described Commodities/Services:

(If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Prime Bidder/Proposer agrees to comply with and be bound by the provisions of the M/WBE Program and understand sanctions may be imposed as provided in Section 9.2 of the Remedial Program for failure to comply. Prime Bidder/Proposer declare and affirm that to the best of our knowledge, information, and belief, the facts and representations set forth herein are true and correct and no material facts have been omitted.

Signature (M/WBE)

Signature (Prime Bidder/ Proposer)



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FORM 103A – Letter of Intent
(This form is required for each subcontractor)

Print Name (M/WBE)

Date

Notary public

State of _____

This instrument was acknowledged before me on (Date)

SEAL

Print Name (Prime Bidder/Prosper)

Date

Notary Public (Print Name)

Signature

FORM 103A – FINAL PAGE 2



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FORM 103B - Individual Independent Contractor/Sole Proprietor (Professional Service Affidavit)

Contract # _____

Participation: ☐ Direct ☐ Indirect

STATE OF ILLINOIS

Please mark if applicable:

Current CPS Employee: ☐

Former CPS Employee: ☐

_____ County} ss.

I _____,
(Print Name)

_____,
(FEIN #)

Email: _____

hereby affirm that I am a: ☐ Black ☐ Hispanic ☐ Asian ☐ Woman ☐ Non-Minority

individual independent contractor/sole proprietor being retained by _____
(Prime Proposer)

to perform the following contract work: _____

I further affirm that I will exclusively be performing all the contract work, that no staff will be employed to perform any part of the contract, and that no part of the contract work will be subcontracted. Should a determination be made during the course of the contract that staff will be employed or work subcontracted, I agree to notify the Office of Business Enterprise Development and Environmental Social Governance, in writing, prior to taking any such action. I further agree to submit M/WBE Compliance Demonstration to the Office of Business Enterprise Development and Environmental Social Governance for approval, setting forth the M/WBE compliance for that part of the contract work.



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FORM 103B - Individual Independent Contractor/Sole Proprietor (Professional Service Affidavit)

I agree to comply with and be bound by the provisions of the M/WBE Plan and I understand that sanctions may be imposed as provided in Section 9 of the Remedial Program and Policies for Minority and Women Business Enterprise Economic Participation (M/WBE Plan) for failure to comply with this affidavit or the M/WBE Plan.

I declare and affirm that to the best of my knowledge, information, and belief, the facts and representations set forth herein are true and correct and no material facts have been omitted.

Signature

Date

Notary Public (Print Name)

Notary Public Signature

State of _____

County of _____

This instrument was acknowledged before me on _____ (Date) by

(Name of Person)

FORM 103B – FINAL PAGE 2



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Form 102 – Joint Venture

This form need not be submitted if all joint ventures are MBE's and/or WBEs. In such a case, however, a written joint venture agreement among the MBE and/or WBE ventures must be submitted. In all proposed joint ventures, each MBE and/or MBE venture must submit a copy of their current Letter of Certification.

ALL INFORMATION REQUESTED BY THIS SCHEDULE MUST BE ANSWERED IN THE SPACES PROVIDED. DO NOT REFER TO YOUR JOINT VENTURE AGREEMENT EXCEPT TO EXPAND ON ANSWERS PROVIDED ON THIS FORM. IF ADDITIONAL SPACE IS REQUIRED, ADDITIONAL SHEETS MAY BE ATTACHED.

General Contractor Name: _____

Project Name: _____

Subcontractor Name: _____

Region: _____

Contract # _____

Total Subcontract Value: _____

Contract#:

I. Joint Venture:

Name: _____ Phone: _____

Address: _____

Email: _____

II. Non-M/WBE Venture (s):

Name of Firm: _____ Phone: _____

Address: _____

Contact: _____ Email: _____ Fax: _____

III. M/WBE Venture (s):

Name of Firm: _____ Phone: _____

Address: _____

Contact: _____ Email: _____ Fax: _____



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FORM 102 – Joint Venture

IV. Describe the role(s) of the M/WBE venture(s) in the joint venture:

V. Attach a copy of the joint venture agreement. In order to demonstrate the MBE and /or WBE venture(s) share in ownership, control, management responsibilities, risks and profits of the joint venture, the proposed joint venture agreement must include specific details related to:

- (a) The contribution of capital and equipment;
- (b) Work items to be performed by the M/WBE's own forces;
- (c) Work items to be performed under the supervision of the M/WBE venture; and
- (d) The commitment of management, supervisory and operative personnel employed by the M/WBE to be dedicated to the performance of the project.

VI. Total Contract Value Ownership of Joint venture.

A. What are the percentage(s) of MBE/WBE ownership of the joint venture?

MBE/WBE: _____ % Non-MBE/WBE: _____ %

B. Specify MBE/WBE Percentages For Each of the Following:

- 1. Profit and Loss Sharing (Percentage): _____ %
- 2. Capital Contribution (Percentage): _____ %
- 3. Dollar Amount of Initial Contribution: \$ _____
- 4. Dollar Amount if Anticipated On-Going Contributions \$ _____
- 5. Equipment contribution (*Specify type, quality, and quantity to be provided by each venture*):

- 6. Other applicable ownership interests (*Including options or other agreements which restrict or limit ownership and/or control*): _____



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FORM 102 – Joint Venture

VII. Control and Participation in the Joint Venture. Identify by name and firm those individuals who are, or will be, responsible for, and have the authority to engage in the following management functions and policy decisions. (*Indicate any limitations to their authority such as dollar limits and co-signatory requirements*):

A. Joint Venture checking signing:

B. Authority to enter contracts on behalf of the joint venture:

C. Signing, co-signing and/or collateralizing loans:

D. Acquisition of lines of credit:

E. Acquisition and indemnification of payment and performance bonds:

F. Negotiating and signing labor agreements:

G. Management of Subcontract Performance. (Identify by name and firm only):

1. Supervision of Field Operations: _____

2. Major Purchases: _____



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3. Estimating: _____

4. Engineering: _____

VIII. Financial Controls of Joint Venture:

A. Which firm and/or individual will be responsible for keeping the books of account?

B. Identify the “managing partner”, if any and describe the means and measure of their compensation:

C. What authority does each venture have to commit or obligate the other to insurance and bonding companies, financing institutions, suppliers, subcontractors, and/or other parties participating in the performance of this subcontract or the work of this project?

IX. State the approximate number of operative personnel (*by trade*) needed to perform the joint venture’s work under this subcontract. Indicate whether they will be employees of the non- MBE/WBE firm, or the joint venture.

Trade	Non-WBE/WBE Firm (Number)	MBE/WBE (Number)	Joint Venture (Number)
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If any personnel proposed for this project will be employees of the joint venture:

A. Are any “proposed” joint venture employees currently employed by either venture? No [] Yes [] If yes list the number of employees: _____ Non WBE | MBE: _____ MBE | WBE: _____

B. Identify by name and firm the individual who will be responsible for hiring joint venture employees:

C. Which venture will be responsible for the preparation of the joint venture payrolls?



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FORM 102 – Joint Venture

- X. Please state any material facts of additional information pertinent to the control and structure of this joint venture.**

FORM 102 – FINAL PAGE 5



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FORM 104 – Demographics | Demography

Company Name: _____

Address: _____

Phone Number: _____ Fax Number: _____

Website: _____

Person Completing Questionnaire Name: _____

Title: _____

Phone: _____

Email: _____

1. Please provide the following information regarding your Company's Board of Directors:

RACE/NATIONAL ORIGIN	# OF MEN	# OF WOMEN	TOTAL
Caucasian			
African-American			
Hispanic			
Asian			
Indigenous			
Other			
GRAND TOTAL			

2. Please provide the following information regarding your Company's managers:

TITLE	CAUCASIAN	AFRICAN-AMERICAN	HISPANIC	ASIAN	WOMEN	OTHER	TOTAL
CEO/President							
Executive VP							
Senior VP							
Vice President							
Division Head							
Other							
GRAND TOTAL							



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FORM 104 – Demographics | Demography

3. Please provide the following information regarding your Company's employees:

RACE/NATIONAL ORIGIN	# OF MEN	# OF WOMEN	TOTAL
Caucasian			
African-American			
Hispanic			
Asian			
Other			
GRAND TOTAL			

4. Does your Company have a plan for increasing diversity among its upper ranks?

☐ Yes ☐ No

Please provide a description of your plan:

5. Does your Company have an established Diversity Program(s) or Policy or Policies?

☐ Yes ☐ No

What is the title of the diversity program director, manager, or officer? _____

What is the name of the diversity program director, manager, or officer? _____

Please provide a brief description of your program:



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FORM 104 – Demographics | Demography

6. If your Company does not currently have a diversity program, please describe below your Company's plan for establishing a program in the future.

7. How has the CEO demonstrated support for companywide diversity initiatives?

8. Does the Company incorporate diversity into its strategic business plan or goals? Please explain:

9. Please indicate your Company's procurement for the last full fiscal year in the following areas: **(In each box other than the TOTAL boxes, please provide the applicable spend amount (\$) and / percentage (%) of the total spend for each industry)**

INDUSTRY	Caucasian Business	African-American Business	Hispanic Business	Asian Business	Women Owned Business	TOTAL
Financial Services						
Legal Services						
Insurance						
Advertising						
PR/Marketing						
Technology						
Construction						
Janitorial						
Other						



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GRAND TOTAL						
-------------	--	--	--	--	--	--

FORM 104 – Demographics | Demography

10. Please indicate your Company's charitable/philanthropic spending in the following areas for the last full fiscal year:

Education \$_____

Museums \$_____

Health & Human Services \$_____

Environment \$_____

Community Development \$_____

Civil Rights \$_____

Opera, Theater & Other Cultural \$_____

Public Policy \$_____

Other \$_____

11. Does your Company have a formal mentoring program for minority and women owned businesses?

☐ Yes ☐ No

Please provide a brief description of your mentoring program:

12. Does your Company advertise in multiethnic media?

☐ Yes ☐ No

Please provide a brief description of your advertisements:



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FORM 104 – Demographics | Demography

13. Does your Company's website reference your diversity efforts?

☐ Yes

☐ No

14. Would your Company be interested in participating in the Chicago Public Schools Education-To-Careers program?

☐ Yes

☐ No

I, _____ hereby affirm that I am authorized to complete this questionnaire on behalf of _____ [Company Name], that I have personal knowledge of all the information contained herein and the same are true. I understand that records and documents may be requested by the Board to verify the information provided in this questionnaire.

Signature of Authorized Officer

Title

Print or Type Name

Date

FORM 104 – FINAL PAGE 5



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FORM 105 – Request For Qualified Exclusion

Contract #: _____

Bidder/Proposer states that the following efforts were made to achieve M/WBE compliance prior to submission of this request for Qualified Exclusion:

1. Contacted government certification directories for relevant MBEs and WBEs?

☐ No

☐ Yes

2. Advertised in M/WBE-Oriented and general circulation media that business opportunities were available with the bidder/proposer on this contract?

☐ No

☐ Yes - (Attach verification of advertisement)

Describe in detail the reasons why the goals applicable to this contract are impossible or economically unreasonable to meet, and the substantial good faith efforts made to achieve full compliance with the M/WBE goals, including the firms contacted and the results of those contacts. (Refer to Pg. 3 of INSTRUCTIONS TO BIDDERS)

Verification Information

I, _____, declare and affirm that to the best of my knowledge, information and belief, the facts and representations set forth in this compliance demonstration are true and correct and no material facts have been omitted.

Signature of: _____ Date: _____ Title: _____

Authorized Representative: _____

Notary public

State of _____

Notary Public (Print Name)

This instrument was acknowledged before me on (Date)

Signature

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FORM 106 – Students Internship | Protégé Agreement

Bidder/Proposer has agreed to participate in a program for Chicago Public School students to offer internship opportunities, which will benefit students in understanding the prospective career options available to them in the Bidder/Proposer's industry. Student Interns will be selected from of the Chicago Public Schools Department of College and Career Preparation Programs and Office of Specialized Services. Participation may be realized through: (1) full and/or part-time entry level employment opportunities and/or (2) full and/or part time paid student internships for current CTE Program students.

Bidder/Proposer is committed to participate in the program in a substantial way in terms of the number of students served and the resources devoted by the firm and will ensure that its student internship program is significant in terms of its training, employment and continuing education objectives. Arrangements shall be made with the Office of College and Career Manager (OCCS), telephone: 773.553.2108 [Website](https://www.cps.edu/about/departments/college-and-career-success/) Link and URL <https://www.cps.edu/about/departments/college-and-career-success/> to employ student(s) interns to perform the following:

(Number of students)

# Interns	Scope of Work	Timeframe	Hourly Pay Range
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Upon Contract Award, this Internship Agreement sets forth the commitment and responsibilities of the Prime Bidder/Proposer with respect to the Chicago Public Schools Department of College and Career Preparation. Prime Bidder/Proposer agrees to comply with and be bound by the provisions of the Internship Agreement.

This AGREEMENT is made and entered into on this _____ Day of _____, Year _____

By (Company Name): _____ Having a principal place of business located:

Address, City, State, and Zip Code: _____

Signature (Career Technical Educator
(CTE)

Signature (Prime Bidder/Proposer)

Print Name

Print Name

Title

Title

Notary public

State of _____

Notary Public (Print Name)

This instrument was acknowledged before me on (Date)

Signature

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